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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Markus PARUSEL, et al.

SERIAL: NO: 10/566,249

GAU:

FILED:

January 30, 2006

**EXAMINER:** 

FOR:

DIFFUSER DISK FOR LCD APPLICATIONS, METHOD FOR THE PRODUCTION AND USE THEREOF

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **RELATED CASES**

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Customer Number

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Form PTO 19 DEPARTMENT OF COMMERCE (Modified)			ATTY DOCKET NO.	SERIAL NO.					
(Modified)				284215US0PCT	10/566,249				
			OD LOANT	APPLICANT					
LIST OF	KEFE	RENCES CITED BY AF	PLICANT	Markus PARUSEL, et al.					
				FILING DATE	GROUP				
				January 30, 2006	<u> </u>				
				U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
	AA	2005/0084993	04/21/05	SCHMIDT et al.					
	AB	2004/0257650	12/23/04	PARUSEL et al.					
	AC	6 268 961	07/31/01	NEVITT et al.					
	AD	2002/0009573	01/24/02	KIMURA et al.					
	AE								
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			FO	REIGN PATENT DOCUMENTS					
;		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO			
	AO	4-134440	05/08/92	JP (English abstract only)			"	NO	
	AP	0 561 551	09/22/93	EP				NO	
	AQ	5-051480	03/02/93	JP (English abstract only)				NO	
	AR	2000-296580	10/24/00	JP (English abstract only)				NO	
	AS	8-198976	08/06/96	JP				NO	
	AT	1 116 741	07/18/01	EP				NO	
	AU	63-77940	04/08/88	JP				NO	
	AV	0 342 283	11/23/89	EP				NO	
	AW	0 269 324	06/01/88	EP	-			NO	
	AX	43 27 464	02/23/95	DE				NO	
		OTHER R	EFERENCES (	Including Author, Title, Date, Pertinent	t Pages, e	tc.)			
	AY				nio				
	AZ				Addi	tional Refe	rences	sheet(s) attached	
Examiner					Date Cor	nsidered			
				t citation is in conformance with MPEP 60	)9; Draw lir	ne through	citation	if not in	

APR 2 5 2006

Application Serial No: 10/566,249

Filed: January 30, 2006 Markus PARUSEL, et al. Docket No. 284215 US

## STATEMENT OF RELEVANCY

- 1) References AC AD & AO AR have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AS AX are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.



## LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56

Docket No.: 284215US0PCT

Serial No.: 10/566,249

Inventor: Markus PARUSEL, et al.

Filing Date: January 30, 2006

Group:

# LIST OF RELATED CASES

Examiner <u>Initial</u>	Docket No.	Serial or Patent Number	Filing or Issue Date	Patent App. <u>Publication No.</u>	Inventor or Applicant
	255898US0PCT	10/501,925	07/28/04	US 2005/0084993 A1	SCHMIDT, et al.
	251109US0PCT	10/495,428	05/21/04	US 2004/0257650 A1	PARUSEL, et al.
	283019US0PCT	10/566,244	01/30/06		PARUSEL, et al.
	283841US0PCT	10/566,370	01/30/06		PARUSEL, et al.
	284215US0PCT*	10/566,249	01/30/06		PARUSEL, et al.

Examiner

Date Considered

<sup>\*</sup>Present Application; listed for information NFO/hah